



Effects of surgical and FFP2/N95 face masks on cardiopulmonary exercise capacity

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Abstract

Background Due to the SARS-CoV2 pandemic, medical face masks are widely recommended for a large number of individuals and long durations. The effect of wearing a surgical and a FFP2/N95 face mask on cardiopulmonary exercise capacity has not been systematically reported.

Methods This prospective cross-over study quantitated the effects of wearing no mask (nm), a surgical mask (sm) and a FFP2/N95 mask (ffpm) in 12 healthy males (age 38.1 ± 6.2 years, BMI 24.5 ± 2.0 kg/m²). The 36 tests were performed in randomized order. The cardiopulmonary and metabolic responses were monitored by ergo-spirometry and impedance cardiography. Ten domains of comfort/discomfort of wearing a mask were assessed by questionnaire.

Results The pulmonary function parameters were significantly lower with mask (forced expiratory volume: 5.6 ± 1.0 vs 5.3 ± 0.8 vs 6.1 ± 1.0 l/s with sm, ffpm and nm, respectively; $p = 0.001$; peak expiratory flow: 8.7 ± 1.4 vs 7.5 ± 1.1 vs 9.7 ± 1.6 l/s; $p < 0.001$). The maximum power was 269 ± 45 , 263 ± 42 and 277 ± 46 W with sm, ffpm and nm, respectively; $p = 0.002$; the ventilation was significantly reduced with both face masks (131 ± 28 vs 114 ± 23 vs 99 ± 19 l/m; $p < 0.001$). Peak blood lactate response was reduced with mask. Cardiac output was similar with and without mask. Participants reported consistent and marked discomfort wearing the masks, especially ffpm.

Conclusion Ventilation, cardiopulmonary exercise capacity and comfort are reduced by surgical masks and highly impaired by FFP2/N95 face masks in healthy individuals. These data are important for recommendations on wearing face masks at work or during physical exercise.

Keywords Cardiopulmonary · Exercise capacity · Ventilation · Surgical masks · FFP2/N95

Introduction

Following the outbreak of the SARS-CoV2 pandemic, use of face masks (fm) is widely recommended by international, national and local authorities [1–3]. The aim of the regulations is to reduce the respiratory droplet excretion in

pre-symptomatic and asymptomatic individuals (source control). The evidence for face masks to reduce respiratory virus infections or to improve clinical outcomes is heterogeneous [4–6]. The role of fine-particle aerosols and environmental factors such as temperature and humidity on respiratory virus transmission is a matter of scientific debate [7]. However, as long as no effective treatment or vaccination against SARS-CoV2 is available, health policies need to rely on non-pharmacological interventions such as social distancing, intensified hand hygiene and the wearing of face masks. Current recommendations to wear a face mask during times of contact to other individuals affect millions of persons. Especially health care professionals are required to wear masks for long periods of time. However, the quantitative effects of medical masks on cardiopulmonary exercise capacity have never been systematically reported.

Disposable surgical masks are intended to reduce transmissions from the wearer to the patient, hand-to-face

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contact and facial contact with large droplets. FFP2/N95 facepiece respirators meet filtration requirements of small airborne particles, fit tightly to the wearer's face and have been suggested to be more efficacious than surgical masks in reducing exposure to viral infections [8]. They are, therefore, widely used by health care professionals for self-protection, especially during the SARS-CoV2 pandemic. However, randomized trials did not find significant differences between FFP2/N95 and surgical masks in preventing influenza infections or respiratory illness [9, 10].

Studies on cardiopulmonary capacity have been performed using respirator masks, e.g., full facepiece masks, filtering air-purifying respirators (APR), air-supplied respirators, blower powered air-purifying respirators (PAPR), and self-contained breathing apparatus (SCBA) [11]. These respirators are better known as “gas masks” that are not used by health care professionals and are not suitable to be worn by the majority of the population. Data on cardiopulmonary capacity wearing medical masks are not available. Since surgical and FFP2/N95 masks are the two most widely used types of medical face masks, they were included in this study protocol.

In addition to health care professionals, information on cardiopulmonary effects of face masks in healthy adults could be important for different groups of individuals. Virus particles in respiratory droplets may be transmitted to a greater extent during different forms of physical exertion, many amateur and professional sports or activities such as singing [6, 12]. Face masks have, therefore, been discussed as means to engage in these activities for a wide range of individuals. Therefore, this randomized cross-over study aimed to provide a detailed quantification of the effect of surgical and FFP2/N95 masks on pulmonary and cardiac capacity in healthy adults.

Materials and methods

Subjects

The study was conducted at the Department of Cardiology, University of Leipzig. The 12 active and healthy male volunteers were recruited from medical staff. Subjects with cardiac, pulmonary or inflammatory diseases or any other medical contraindications were not included. The characteristics of the participants are shown in Table 1. The study was conducted in accordance with the latest revision of the Declaration of Helsinki and was approved by the Ethical Committee of the Medical Faculty, University of Leipzig (reference number 088/18-ek). Written informed consent was obtained from all the participants.

Table 1 Baseline characteristics

Parameter	Unit	Mean \pm SD
Age	Years	38.1 \pm 6.2
Height	cm	183 \pm 7.7
Weight	kg	81.8 \pm 8.4
Body mass index	kg/m ²	24.5 \pm 2.0
Sports activity	min/week	186 \pm 13
Heart rate	bpm	68.1 \pm 9.3
Systolic blood pressure	mmHg	126 \pm 13.8
Diastolic blood pressure	mmHg	83.1 \pm 6.5

min minute, *bpm* beats per minute

Study design

Medical history was taken using a questionnaire. Subjects received physical examination and vital parameters, body measurements and a resting electrocardiogram (ECG). Each subject performed three incremental exertion tests (IET), one “no mask” (nm), one with surgical mask (sm) and one with FFP2/N95 mask (ffpm). The order of the masks worn was randomly assigned using the GraphPad Quickcalcs online randomization tool [13]. Tests were performed at the same time of day with a minimum of 48 h between two tests. To assess baseline respiratory function, spirometry for each setting (nm, sm, ffpm) was performed. The participants were blinded with regard to their respective test results to avoid influence by an anticipation bias. Statistical analysis was performed by an independent and fully blinded scientist who was not involved in the conduction of the tests.

Incremental exertion test (IET)

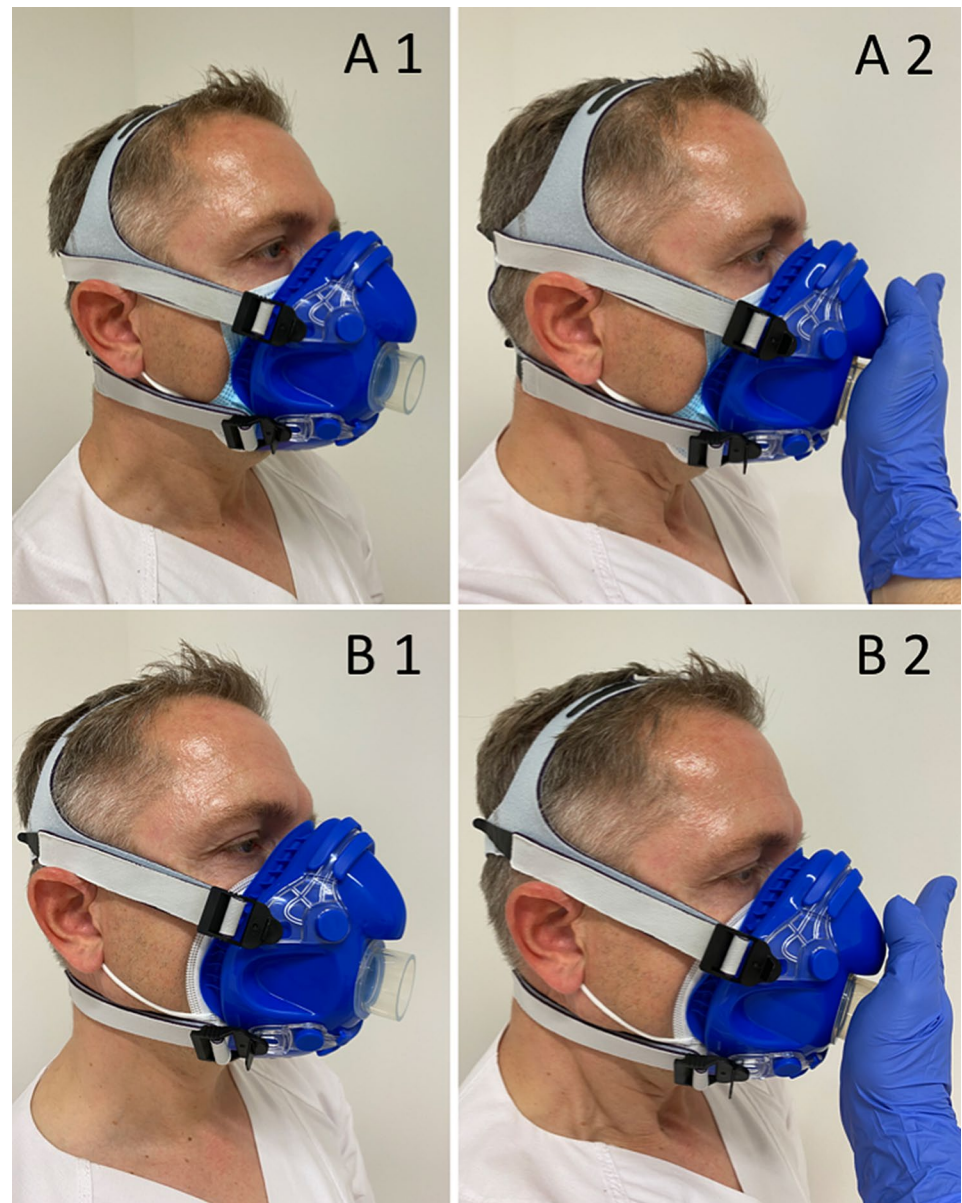
IET were performed on a semi-recumbent ergometer (GE eBike, GE Healthcare GmbH, Solingen, Germany, Germany) at a constant speed of 60–70 revolutions per minute (rpm). The test began at a workload of 50 W with an increase of 50 W within 3 min (as a ramp) until voluntary exhaustion occurred. Each subject continued for an additional 10-min recovery period at a workload of 25 W.

Masks

We used typical and widely used disposable FFP2/N95 protective face masks (Shaoguan Taijie Protection Technology Co., Ltd., Gao Jie, China) and surgical masks (Suavel® Protec Plus, Meditrade, Kiefersfelden, Germany), both with earloops.

The spirometry mask was placed over the fm and fixed with head straps in a leak-proof manner (see Fig. 1A1, B1).

Fig. 1 Fitting of mask and leakage test. Fitting of spirometry mask with sm (A1) and ffpm (B1) and the respective leakage tests with sm (A2) and ffpm (B2)



After fitting the spirometry mask, subjects performed (a) inspiration and (b) expiration with maximal force. During both maneuvers, the valve of the mask was closed leading to abrupt stop of the air flow (see Fig. 1A2, B2). The fitting was carefully checked for the absence of any acoustic, sensory or visual indication of leakage (e.g., lifting of the mask, whistling or lateral airflow) by the investigators and the test person. The correct fitting and leak tightness were confirmed before each test was started.

Measurements

Cardiac output (CO), stroke volume (SV) (measured by impedance cardiography; Physioflow, Manatec Biomedical, Macheren, France), heart rate (HR) (GE-Cardiosoft,

GE Healthcare GmbH, Solingen, Germany), maximum oxygen consumption ($\text{VO}_{2\text{max}}$) and minute ventilation (VE) were monitored continuously at rest, during IET and during recovery. Lung function and spirometry data were collected through a digital spirometer (Vyntus™ CPX, Vyaire Germany, Hoechberg, Germany). For each modality (nm, sm, ffpm), data of three expiratory maneuvers with 1-min intervals were collected using the best values obtained for maximum forced vital capacity (FVC), forced expiratory volume in 1st second (FEV1), peak expiratory flow (PEF) and Tiffeneau index (TIFF). The arterio-venous oxygen difference was computed using Fick's principle with $\text{avDO}_2 = \text{VO}_2/\text{CO}$. Cardiac work (CW) was measured in joules (J) and calculated according to the formula $\text{CW} = \text{SV (in m}^3) \times \text{SBP (in Pa)}$. Capillary blood samples (55 μl) were taken from the

earlobe at baseline and immediately after cessation of maximum load and analyzed (ABL90 FLEX blood gas analyzer, Radiometer GmbH, Krefeld, Germany). Blood pressures (BP) was observed at rest, every 3 min during the IET and after the first 5 min of recovery period.

Quantification of comfort/discomfort

We used a published questionnaire published by [14] to quantify the following ten domains of comfort/discomfort of wearing a mask: humidity, heat, breathing resistance, itchiness, tightness, saltiness, feeling unfit, odor, fatigue, and overall discomfort. The participants were asked 10 min after each IET how they perceived the comfort in the test.

Statistical analysis

All values are expressed as means and standard deviations unless otherwise stated, and the significance level was defined as $p < 0.05$. Data were analyzed using Microsoft Office Excel® 2010 for Windows (Microsoft Corporation, Redmond, Washington, USA) and GraphPad Prism 8 (GraphPad Software Inc., California, USA). For distribution analysis, the D'Agostino–Pearson normality test was used. For normal distribution, comparisons were made using one-way repeated measures ANOVA with Turkey's post hoc test for multiple comparisons. Otherwise, the Friedman non-parametric test and Dunn's post hoc test were used. The study was powered to detect a difference of 10% in VO_{2max} /kg between nm and ffp.

Results

Pulmonary function

The results of the pulmonary function tests are shown in Table 2. Both sm and ffp significantly reduce the dynamic lung parameters. The average reduction of FVC was $-8.8 \pm 6.0\%$ with sm and $-12.6 \pm 6.5\%$ with ffp. FEV1

was $-7.6 \pm 5.0\%$ lower with sm and $-13.0 \pm 9.0\%$ with ffp compared to no mask. The peak flow measurement showed that both sm and ffp significantly reduced the PEF ($-9.7 \pm 11.2\%$ and $-21.3 \pm 12.4\%$, respectively).

Incremental exertion test

The results of IET under different conditions are depicted in Table 3. None of the masks had impact on the examined parameters under resting condition. The average duration of IET compared to the test without mask was slightly decreased by -29 ± 40 s with sm ($p = 0.07$) and significantly decreased by -52 ± 45 s with ffp ($p = 0.005$). Under maximum load, there was a large reduction of the performance measures Pmax and VO_{2max} , especially with ffp (Fig. 2). Furthermore, these parameters were significantly reduced in ffp compared to sm.

Assessment of the hemodynamic parameters (Table 3) showed that ffp decreased $avDO_2$ by $16.7 \pm 11.2\%$ compared to nm. Stroke volume and cardiac output and cardiac work did not differ significantly (nm: 4.3 ± 0.8 J, sm: 4.7 ± 1.4 J, ffp: 4.6 ± 0.9 J; $p = 0.29$).

The masks showed a marked effect on pulmonary parameters: VE for both sm and ffp was significantly reduced by $-12.0 \pm 12.6\%$ and $-23.1 \pm 13.6\%$, respectively, compared to nm (see Table 3; Fig. 1). Compared to nm, tests with ffp showed a significant reduction in breathing frequency with an additional decrease in tidal volume ($-9.9 \pm 11.3\%$ and $-14.4 \pm 13.0\%$, respectively). At the same time, a longer inhalation time was observed (sm: $12 \pm 15\%$, $p = 0.043$; ffp: $19 \pm 16\%$, $p = 0.005$). There were no differences in exhalation time.

Measurements of the metabolic parameters pH, PCO_2 , PO_2 and lactate and the heart rate recovery did not differ significantly between the three tests (Table 3).

Perceived discomfort

Subjective ratings for different sensations and overall discomfort for sm and ffp compared to nm are depicted in

Table 2 Spirometry results

Parameter	Unit	nm	sm	ffp	ANOVA	nm vs sm	nm vs. ffp	sm vs ffp
FVC	l	6.1 ± 1.0	5.6 ± 1.0	5.3 ± 0.8	< 0.001	0.003	< 0.001	0.032
FEV1	l	4.3 ± 0.7	4.0 ± 0.7	3.7 ± 0.6	0.001	0.001	0.003	0.068
TIFF	%	70.6 ± 9.7	71.2 ± 6.9	69.7 ± 4.9	0.635	0.934	0.900	0.520
PEF	l/s	9.7 ± 1.6	8.7 ± 1.4	7.5 ± 1.1	< 0.001	0.026	0.001	0.040

Spirometry results of health volunteers wearing no mask (nm), a surgical mask (sm) and a FFP2/N95 mask (ffp) depicted as mean \pm standard deviation

Significant results are indicated in bold

FVC forced vital capacity, FEV1 forced expiratory volume in 1 s, TIFF Tiffenau index, PEF peak expiratory flow, l liter, s second

Table 3 Results of the incremental exercise test

Incremental exertion test	Unit	nm	sm	ffpm	ANOVA	nm vs sm	nm vs. ffpm	sm vs. ffpm
Rest								
Hemodynamic parameters								
HR	bpm	66.2 ± 9.3	66.2 ± 11.8	66.2 ± 7.2	1.000	1.000	1.000	1.000
SV	ml	100 ± 17.7	105 ± 22.3	103 ± 21.0	0.280	0.354	0.310	0.863
CO	l/min	6.3 ± 0.7	6.6 ± 0.7	6.6 ± 0.9	0.314	0.542	0.248	0.985
avDO ₂	%	5.4 ± 1.5	4.7 ± 1.3	5.1 ± 0.9	0.346	0.307	0.837	0.623
SBP	mmHg	117 ± 8.7	122 ± 12.3	121 ± 12.0	0.399	0.474	0.529	0.977
DBP	mmHg	81.9 ± 6.1	80.1 ± 6.6	81.0 ± 6.2	0.569	0.494	0.836	0.907
Pulmonary parameters								
VE	l/min	10.5 ± 2.5	10.3 ± 2.6	10.4 ± 1.9	0.822	0.898	0.967	0.958
Breathing frequency	brpm	14.8 ± 2.2	12.9 ± 2.9	12.5 ± 2.7	0.006	0.051	0.016	0.601
VT	l	0.7 ± 0.2	0.8 ± 0.2	0.9 ± 0.2	0.146	0.465	0.125	0.770
Metabolic parameters								
pH		7.41 ± 0.02	7.44 ± 0.06	7.42 ± 0.02	0.166	0.278	0.558	0.422
PCO ₂	mmHg	40.2 ± 3.4	39.3 ± 3.6	39.3 ± 2.2	0.094	0.179	0.213	0.998
PO ₂	mmHg	111 ± 4.3	117 ± 23.1	122 ± 22.1	0.465	0.824	0.487	0.787
Lactate	mmol/l	1.00 ± 0.27	0.78 ± 0.26	1.04 ± 0.52	0.125	0.003	0.962	0.281
Maximum load								
Performance								
P _{max}	W	277 ± 45.9	269 ± 45.1	263 ± 41.7	0.002	0.071	0.005	0.018
P _{max} /kg	W/kg	3.40 ± 0.5	3.30 ± 0.5	3.22 ± 0.4	0.001	0.066	0.005	0.019
VO _{2max} /kg	(ml/min)/kg	39.7 ± 5.8	37.9 ± 6.0	34.5 ± 5.3	<0.001	0.063	0.001	0.013
Hemodynamic parameters								
HR	bpm	187 ± 8.3	183 ± 9.2	182 ± 11.2	0.106	0.031	0.107	0.964
SV	ml	151 ± 26.4	165 ± 35.0	164 ± 20.4	0.086	0.166	0.074	0.979
CO	l/min	25.8 ± 4.2	27.3 ± 5.6	27.0 ± 3.8	0.342	0.435	0.422	0.964
avDO ₂	%	12.8 ± 2.8	11.5 ± 2.2	10.5 ± 2.0	0.002	0.084	0.007	0.172
SBP	mmHg	214 ± 18.2	212 ± 28.5	210 ± 18.8	0.901	0.984	0.905	0.954
DBP	mmHg	88.8 ± 9.6	95.8 ± 36.7	89.8 ± 8.8	0.582	0.779	0.959	0.847
Pulmonary parameters								
VE	l/min	131 ± 27.8	114 ± 23.3	98.8 ± 18.6	0.001	0.048	0.003	0.009
Breathing frequency	brpm	40.9 ± 5.1	39.3 ± 6.2	36.8 ± 5.9	0.019	0.518	0.024	0.138
VT	l	3.2 ± 0.7	2.9 ± 0.5	2.7 ± 0.4	0.016	0.255	0.021	0.102
Metabolic parameters								
pH		7.27 ± 0.05	7.32 ± 0.10	7.31 ± 0.06	0.158	0.216	0.065	0.989
PCO ₂	mmHg	34.2 ± 3.8	34.3 ± 5.9	34.9 ± .0	0.726	0.999	0.560	0.943
PO ₂	mmHg	107 ± 20.5	116 ± 23.7	116 ± 23.2	0.502	0.714	0.339	0.996
Lactate	mmol/l	12.8 ± 3.09	11.0 ± 3.91	10.8 ± 3.12	0.049	0.132	0.105	0.985
Recovery								
Hemodynamic parameters								
HRR-1 min	bpm	−39.7 ± 15.9	−38.1 ± 9.2	−39.9 ± 11.2	0.203	0.055	0.611	0.781
HRR-5 min	bpm	−72.5 ± 24.1	−77.6 ± 11.5	−77.3 ± 10.9	0.874	0.938	0.855	0.991

Results of the incremental exercise test of health volunteers wearing no mask (nm), a surgical mask (sm) and a FFP2/N95 mask (ffpm) depicted as mean ± standard deviation

Significant results are indicated in bold

HR heart rate, P power, SV stroke volume, CO cardiac output, avDO₂ arterio-venous oxygen content difference, SBP systolic blood pressure, DBP diastolic blood pressure, VO₂ oxygen uptake, VE ventilation, VT tidal volume, PCO₂ partial pressure of carbon dioxide, PO₂ partial pressure of oxygen, HRR heart rate recovery, bpm beats per minute, W Watt, brpm breaths per minute

Fig. 2 Effects of wearing a surgical mask (sm) and a FFP2/N95 mask (ffpm) compared to no mask on maximal power (P_{\max}), maximal oxygen uptake ($VO_{2\max}$), ventilation (VE) and overall discomfort. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

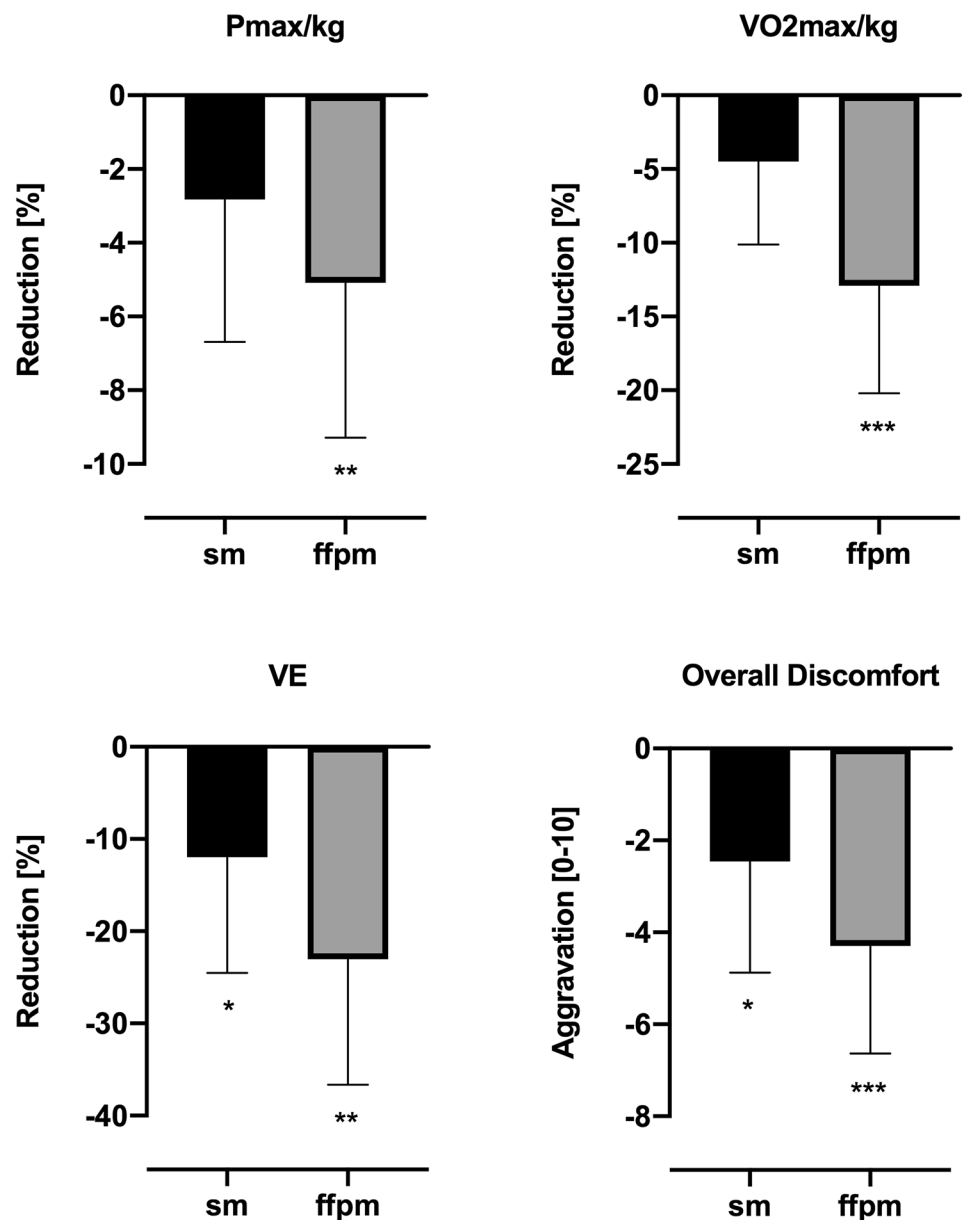


Table 4 Perceived discomfort

Discomfort	nm	sm	ffpm	ANOVA	nm vs sm	nm vs ffpm	sm vs ffpm
Humid	2.4 ± 2.0	4.9 ± 3.2	5.9 ± 2.2	0.003	0.069	0.001	0.402
Hot	2.0 ± 1.3	4.2 ± 2.4	6.2 ± 2.3	<0.001	0.012	<0.001	0.024
Breath resistance	1.7 ± 1.2	5.4 ± 1.9	7.4 ± 2.5	<0.001	0.001	<0.001	0.045
Itchy	1.1 ± 1.0	3.4 ± 3.1	4.9 ± 2.6	0.002	0.030	0.001	0.331
Tight	1.9 ± 1.8	3.9 ± 2.6	6.5 ± 2.3	<0.001	0.035	<0.001	0.021
Salty	0.7 ± 1.1	1.6 ± 1.5	3.5 ± 2.8	0.003	0.261	0.012	0.023
Unfit	1.4 ± 1.2	3.3 ± 2.3	5.4 ± 2.3	<0.001	0.009	<0.001	0.016
Odor	1.4 ± 2.2	1.2 ± 0.9	3.6 ± 2.8	0.011	0.956	0.056	0.036
Fatigue	2.7 ± 2.2	5.8 ± 2.5	6.5 ± 2.6	<0.001	0.002	0.001	0.394
Overall discomfort	2.8 ± 2.2	5.2 ± 2.1	7.0 ± 1.7	<0.001	0.012	<0.001	0.005

Results of the questionnaire [14] quantitating ten domains of comfort/discomfort of wearing a surgical mask (sm) and a FFP2/N95 mask (ffpm) compared to no mask on a scale from 0 (no discomfort at all) to 10 (maximal discomfort) depicted as mean ± standard deviation

Significant results are indicated in bold

Table 4. In general, the negative ratings for all items of discomfort increased consistently and significantly from sm to ffp. There were several-fold negative reports for the ffp compared to nm and sm for breathing resistance. The relative aggravation in overall discomfort compared to the standard procedure for spirometric tests is shown in Fig. 2.

Discussion

This first randomized cross-over study assessing the effects of surgical masks and FFP2/N95 masks on cardiopulmonary exercise capacity yields clear results. Both masks have a marked negative impact on exercise parameters such as maximum power output (P_{\max}) and the maximum oxygen uptake ($VO_{2\max}$ /kg). FFP2/N95 masks show consistently more pronounced negative effects compared to surgical masks. Both masks significantly reduce pulmonary parameters at rest (FVC, FEV1, PEF) and at maximum load (VE, BF, TV). Furthermore, wearing the masks was perceived as very uncomfortable with a marked effect on subjective breathing resistance with the FFP2/N95 mask.

Pulmonary function

Spirometry showed reduced FVC, FEV1 and PEF with the surgical mask and even greater impairments with the FFP2/N95 mask. Wearing the FFP2/N95 mask resulted in a reduction of $VO_{2\max}$ by 13% and of ventilation by 23%. These changes are consistent with an increased airway resistance [15]. Studies testing increased upper airway obstruction induced by added resistance at the mouth report similar effects on the lung functions parameter with increased breathing resistance [16]. The reduction in ventilation resulted from a lower breathing frequency with corresponding changes of the inhaling and exhaling time and a reduced tidal volume. This is in agreement with the effects of respiratory protective devices or additional external breathing resistance [16, 17]. The increased breathing resistance, which is likely higher during stress, leads to an elevated breathing work and a limitation of the ventilation. The data of this study are obtained in healthy young volunteers, the impairment is likely to be significantly greater, e.g., in patients with obstructive pulmonary diseases [18]. From our data, we conclude that wearing a medical face mask has a significant impact on pulmonary parameters both at rest and during maximal exercise in healthy adults.

Cardiac function

Increased breathing resistance in ffp and sm requires more work of the respiratory muscles compared to nm leading to higher oxygen consumption. Additionally, a significant

proportion of cardiac output is directed via different mechanisms, e.g., sympathetically induced vasoconstriction, to the respiratory musculature [19]. Furthermore, the increased breathing resistance may augment and prolong inspiratory activity leading to more negative intrathoracic pressure (ITP) for longer durations. This assumption is supported by the findings on inspiration times which were higher while wearing a fm. Prolonged and more negative ITP increases the cardiac preload and may lead to higher SV at the one hand which is consistent with our results showing a statistical trend towards higher SV while wearing ffp or sm [20, 21]. In addition, cardiac afterload increases because of an increased transmural left-ventricular pressure resulting in enhanced myocardial oxygen consumption [22]. In these healthy volunteers, functional cardiac parameters do not differ significantly at baseline, at maximal load and during recovery. However, there is a non-significant trend towards a higher cardiac work (Joule) compared to the test without mask. This is of relevance since significantly less watts (−5%) was achieved in the tests with masks. The relation of cardiac power to the total power is approximately 10% lower with ffp. These data suggest a myocardial compensation for the pulmonary limitation in the healthy volunteers. In patients with impaired myocardial function, this compensation may not be possible.

Performance

The measurements show that surgical masks, and to a greater extent FFP2/N95 masks, reduce the maximum power. P_{\max} (Watt) depends on energy consumption and the maximum oxygen uptake ($VO_{2\max}$). The effect of the masks was most pronounced on $VO_{2\max}$. Since the cardiac output was similar between the conditions, the reduction of P_{\max} was primarily driven by the observed reduction of the arterio-venous oxygen content ($avDO_2$). Therefore, the primary effect of the face masks on physical performance in healthy individuals is driven by the reduction of pulmonary function. In addition, the auxiliary breathing muscles have been described to induce an additional afferent drive which can contribute to an increase of the fatigue effect [23–25].

The performance of several different populations may be significantly affected by face masks. For athletes the use of fm will reduce physical performance. Less pronounced but mechanistically similar effects have been observed for mouthguards [26–28]. The increased breathing resistance is especially problematic for patients with chronic obstructive pulmonary diseases. Patients with diffusion disorders have reduced capacity to compensate due to the reduced tidal volume. Another example of a population at risk is patients with heart failure. The observed mechanisms may lead to more severe symptoms in individuals with impaired capacity for myocardial compensation.

Discomfort

Health care professionals and others are faced with significant psychological distress during viral outbreaks [29]. Measures to maintain the quality of life both during emergency situations and long term care are increasingly important. Adequate personal protective equipment and adequate rest are considered keys to reduce the risk of adverse psychological outcomes [29]. Our sample primarily consisted of physicians working at a university hospital who are very familiar with medical masks and have a positive attitude towards personal protection. Our data show that FM leads to severe subjective discomfort during exercise. FFP2/N95 masks are perceived as more uncomfortable than sm. In particular, breathing resistance, heat, tightness and overall discomfort are the items with the greatest influence on subjective perception. This finding is in agreement with the literature [14, 30]. Wearing of fm is perceived as subjectively disturbing and is accompanied by an increased perception of exertion. It is likely that the masks negatively impact on the dynamics of perception especially at the limit of exercise tolerance [31, 32]. In addition to the severe impact on ventilation, the data suggest the associated discomfort as a second important reason for the observed impairment of physical performance.

Limitations of the study

The sample consisted of relatively young, healthy, male participants. The data cannot be extrapolated to other populations but set the stage to assess the effects of the face masks in elderly and in patients with pulmonary and with cardiac diseases. This study is the largest cross-over study to date comparing acute cardiopulmonary effects with and without common face masks, however, independent repetition and larger sample size is always welcome. The external validity concerning surgical masks (relevant leakage to eyes and ears in daily life) may be reduced because of the laboratory conditions where the sm was completely sealed by the spirometry mask. Cardiac parameters obtained by impedance cardiography may be overestimated using absolute values [33]. However, thoracic impedance cardiography is well established for the quantification of intra-individual changes in SV and CO [34–36].

Conclusion

Medical face masks have a marked negative impact on cardiopulmonary capacity that significantly impairs strenuous physical and occupational activities. In addition, medical masks significantly impair the quality of life of their wearer.

These effects have to be considered versus the potential protective effects of face masks on viral transmissions. The quantitative data of this study may, therefore, inform medical recommendations and policy makers.

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Compliance with ethical standards

Conflict of interest None.

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Pressemitteilung vom 20.07.2020

Aktuelle Untersuchung am Universitätsklinikum Leipzig zeigt: Das Tragen eines Mund-Nasen-Schutzes vermindert die körperliche Belastbarkeit von Gesunden

Deutschlandweit erste wissenschaftliche Arbeit zum Thema mit eindeutigen Ergebnissen / Maskenpflicht trotzdem richtig



Studienleiter Dr. Sven Fikenzer mit jeweils einer der Masken, die bei der Untersuchung von den Teilnehmern getragen wurde, rechts die chirurgische Maske und links die FFP2-Maske.

Leipzig. "Maske auf" – das Tragen eines so genannten Mund-Nasen-Schutzes (MNS) ist wegen der Corona-Pandemie fast schon integraler Bestandteil des Alltags geworden. Viele Menschen müssen die Maske auch während körperlicher Anstrengung, zum Beispiel bei der Arbeit, tragen.

Wie dies die Belastbarkeit von Gesunden beeinträchtigt, ist nun am Universitätsklinikum Leipzig (UKL) deutschlandweit zum ersten Mal wissenschaftlich belegt worden. Die Ergebnisse stellten jedoch keinesfalls eine Kritik an der Maske als Corona-Schutzmaßnahme dar, betonten die Autoren.

Für ihre Untersuchung arbeiteten die Experten der Klinik und Poliklinik für Kardiologie des UKL um Klinikdirektor Prof. Ulrich Laufs und Studienleiter Dr. Sven Fikenzer mit ihrem UKL-Kollegen Prof. Pierre Hepp, Leiter des Bereichs Arthroskopische & Spezielle Gelenkchirurgie / Sportverletzungen, sowie Prof. Martin Busse, Direktor des Instituts für Sportmedizin und Prävention der Universität Leipzig, zusammen. Sie nutzten dabei zwei weit verbreitete Typen von Gesichtsmasken, chirurgische Masken sowie FFP2-Masken, um deren Einfluss auf die körperliche Belastbarkeit von gesunden Personen zu untersuchen. Die Messungen erfolgten mit dem methodischen "Goldstandard", der Spiroergometrie. Freiwillige gesunde Probanden wurden jeweils ohne Maske, chirurgischen Masken und FFP2-Masken körperlich belastet. Dabei mussten sie den Mund-Nasen-Schutz unter der Spiroergometrie-Maske tragen. Die Untersuchungen erfolgten jeweils mit einigen Tagen Abstand, die Reihenfolge wurde nach dem Zufallsprinzip festgelegt.

Die Daten zeigen, dass die so genannte kardiopulmonale Leistungsfähigkeit durch beide Masken-Typen signifikant reduziert wird. Die Masken beeinträchtigen die Atmung, vor allem das Volumen und die höchstmögliche Geschwindigkeit der Luft beim Ausatmen. Die maximal mögliche Kraft auf dem Fahrrad-Ergometer war deutlich reduziert. Im Stoffwechsel wurde eine schnellere Ansäuerung des Blutes bei Anstrengung registriert (Laktat).

Mit Fragebögen beurteilten die Teilnehmer zudem systematisch ihr subjektives Empfinden. Auch hier zeigte sich eine erhebliche Beeinträchtigung verschiedener Parameter des Wohlbefindens.

"Die Ergebnisse unserer Untersuchung bestätigen das subjektive Gefühl vieler Menschen", erklärt Kardiologie-Klinikdirektor Prof. Ulrich Laufs, "die Studie hat die Einschränkung der Leistungsfähigkeit nun auch wissenschaftlich quantifiziert." Er sieht die Ergebnisse für viele Lebensbereiche als potentiell interessant an: "Übertragen auf die Arbeitswelt stellt sich schon die Frage, ob Menschen, die mit Maske körperlich anstrengende Arbeit leisten, öfter Pausen machen müssten als bisher."


Keinesfalls sei die Arbeit als Kritik oder als ein Infragestellen der Maskenpflicht gedacht, betont Prof. Laufs, denn der Mund-Nasen-Schutz sei wertvoll, um die weitere Ausbreitung der Corona-Pandemie zu verhindern oder zu verlangsamen, "aber wir zahlen halt einen Preis dafür." In der Gesamtbetrachtung der Corona-Schutzmaßnahmen müssten daher die nun nachgewiesenen Einschränkungen der körperlichen Belastbarkeit von gesunden Menschen durch das Maskentragen berücksichtigt werden, meint der UKL-Kardiologe.

Die detaillierten Ergebnisse des wissenschaftlichen Projekts sind nun aktuell im Fachmagazin "Clinical Research in Cardiology" (<https://link.springer.com/article/10.1007/s00392-020-01704-y>) veröffentlicht worden.


Letter to the editors referring to Fikenzer, S., Uhe, T., Lavall, D., Rudolph, U., Falz, R., Busse, M., Hepp, P., & Laufs, U. (2020). Effects of surgical and FFP2/N95 face masks on cardiopulmonary exercise capacity. Clinical Research in Cardiology

R. Petru, U. Ochmann, D. Nowak • Published 2020 • Medicine • Clinical Research in Cardiology

We read the paper by Fikenzer et al. [1] with great interest. Twelve healthy male participants performed the following cardiopulmonary repeat exercise tests in random order: without any mask, with surgical mask, and with FFP2/N95 mask. We thank the authors for this well-conducted and interesting study and want to add our thoughts to the main statements and the overall conclusion. First, one main statement of the authors is that the impact of masks on cardiopulmonary capacity impairs strenuous physical and occupational activities. This statement is based on the comparison of the maximum exercise capacity. The question arises whether this is really the critical aspect. Any physical activity exceeding the endurance limit leads to anaerobic metabolism and therefore can be performed only for shorter periods. Continuous activity can only be achieved if the workload does not exceed the aerobic–anaerobic (ventilatory) threshold. According to the literature, the endurance threshold for an 8-h shift is estimated 33–40% of the individual's maximum oxygen uptake [2, 3]. Recent literature indicates that even in physically highly demanding occupational tasks work demands such as garbage collection or cleaning the individual ventilatory threshold was exceeded only for short intervals within a range of 35–69% of maximal oxygen uptake [4, 5]. In conclusion, in occupational settings, the detected reduction of maximal workload in very high levels above 3 W/kg has no practical consequence. A comparison of the individual ventilatory threshold instead of the maximal oxygen uptake or maximal workload would have been much more meaningful. Assessment of the ventilatory threshold is one of the most essential parameters of cardiopulmonary exercise testing. Therefore, we cannot comprehend why the authors have not listed and compared this important parameter. Only a significant reduction of the individual ventilatory threshold would have supported the authors' above-mentioned main statement. Second, the authors stated a significant impairment of quality of life, because the results of a questionnaire revealed increased perceived discomfort values after physical test with masks. Whereas a greater discomfort wearing facemasks is to be expected due to alteration of the normal breathing pattern [6], quality of life was not directly measured. There is no evidence that performing physical exercise with a mask will result in a decrease of wearers' quality of life [7]. Discomfort as a short time reaction should not be put on par with impairment of quality of life as a long time change. From our point of view, the overall conclusion that "the shown effects have to be considered versus the potential protective effects of face masks on viral transmissions" is not appropriate, and is even dangerous in the actual context of people negating the pandemic situation and the effect of implemented preventive measures. An updated statement of the authors could prevent misuse of their article by mass media. This comment refers to the article available online at <https://doi.org/10.1007/s00392-020-01704-y>. [LESS](#)

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Effects of surgical and FFP2/N95 face masks on cardiopulmonary exercise capacity

S. Fikenzer, T. Uhe, +5 authors U. Laufs • Medicine • Clinical Research in Cardiology • 2020

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Response to Letter to the editors referring to Fikenzer, S., Uhe, T., Lavall, D., Rudolph, U., Falz, R., Busse, M., Hepp, P., & Laufs, U. (2020). Effects of surgical and FFP2/N95 face masks on cardiopulmonary exercise capacity. Clinical research in cardiology: official journal of the German Cardiac Society, 1–9. Advance online publication. <https://doi.org/10.1007/s00392-020-01704-y>

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Response to Petru et al.

Sirs:

$\text{VO}_{2\text{RCP}}$ and $\text{relVO}_{2\text{max}}$ at RCP were significantly reduced for ffpm. Please find the detailed values below. The method for the quantification of ten domains of comfort/discomfort of wearing a mask (humidity, heat, breathing resistance, itchiness, tightness, saltiness, feeling unfit, odor, fatigue, and overall discomfort) is described in the manuscript.

The clear results of our study show that ventilation, cardiopulmonary exercise capacity, and comfort are reduced by surgical masks and highly impaired by FFP2/N95 face masks in healthy individuals. Future/ongoing studies need to address the effects during long-term sub-maximal physical activity and in patients with pulmonary and/or cardiac diseases.

We strongly believe that medical recommendations need to be based on evidence with regard to risk and benefit. As an example, the knowledge about adverse effects associated with many life-saving medications (e.g.,

immunosuppressant drugs) is a prerequisite for adequate treatment recommendations and long-term success.

$\text{VO}_{2\text{RCP}}$

nm: 2468 ± 514 ml/min
sm: 2401 ± 662 ml/min
ffpm: 2242 ± 535 ml/min
one-way ANOVA $p = 0.044$
nm vs. sm $p = 0.707$
nm vs. ffpm $p = 0.017$
 $\text{relVO}_{2\text{max}}$ at RCP
nm: $75.5 \pm 5.5\%$
sm: $72.8 \pm 7.8\%$
ffpm: $68.5 \pm 7.2\%$
one-way ANOVA $p = 0.034$
nm vs. sm $p = 0.486$
nm vs. ffpm $p = 0.017$
Sven Fikenzer and Ulrich Laufs.

This reply refers to the comment available online at <https://doi.org/10.1007/s00392-020-01735-5>.

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